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PTO/SB/05 (11-00)

**UTILITY
PATENT APPLICATION
TRANSMITTAL**(Only for new nonprovisional applications
under 37 CFR 1.53(b))

Attorney Docket No.

100086.401C11

First Inventor

Orest W. Blaschuk

Title

**COMPOUNDS AND METHODS FOR
MODULATING CELL ADHESION**

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| 1. <input type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original and a duplicate for fee processing) | 7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix) |
| 2. <input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. | 8. <input checked="" type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) |
| 3. <input checked="" type="checkbox"/> Specification [Total Pages <u>105</u>] (preferred arrangement set forth below) <ul style="list-style-type: none">- Descriptive title of the Invention- Cross Reference to Related Applications- Statement Regarding Fed sponsored R & D- Reference to sequence listing, a table, or a computer program listing appendix- Background of the Invention- Brief Summary of the Invention- Brief Description of the Drawings (if filed)- Detailed Description- Claim(s)- Abstract of the Disclosure | a. <input checked="" type="checkbox"/> Computer Readable Form (CRF) b. <input checked="" type="checkbox"/> Specification Sequence Listing on: <ul style="list-style-type: none">i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); orii. <input checked="" type="checkbox"/> paper c. <input checked="" type="checkbox"/> Statements verifying identity of above copies |
| 4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets <u>24</u>] | ACCOMPANYING APPLICATION PARTS |
| 5. Oath or Declaration [Total Sheets <u>2</u>] <ul style="list-style-type: none">a. <input type="checkbox"/> Newly executed (original or copy)b. <input checked="" type="checkbox"/> Copy from a prior application (37 CFR 1.63 (d)) (for a continuation/divisional with Box 18 completed)i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b). | 9. <input type="checkbox"/> Assignment Papers (cover sheet & document(s)) 10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input checked="" type="checkbox"/> Power of (when there is an assignee) Attorney 11. <input type="checkbox"/> English Translation Document (if applicable) 12. <input type="checkbox"/> Information Disclosure <input type="checkbox"/> Copies of IDS Statement (IDS)/PTO-1449 Citations 13. <input type="checkbox"/> Preliminary Amendment 14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) Should be specifically itemized) 15. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed) 16. <input type="checkbox"/> Request and Certification under 35 U.S.C. Applicant must attach form 122(b)(2)(B)(i). PTO/SB/35 or its equivalent. 17. <input checked="" type="checkbox"/> Other: <u>Copy of Limited Recognition</u> |
| 6. <input checked="" type="checkbox"/> Application Data Sheet. See 37 CFR 1.76 | |

18. If a CONTINUING APPLICATION OR APPLICATION CLAIMING FOREIGN PRIORITY, check appropriate box, and supply the
requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) ☒ Claims priority from application
No. 09/507,102, filed February 17, 2000

Prior application information

Examiner Avis M. DavenportGroup Art Unit: 1653For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or
declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or
divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a
portion has been inadvertently omitted from the submitted application parts.**19. CORRESPONDENCE ADDRESS**

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| Name (Print/Type) | Qing Lin, Ph.D. | Registration No. (Attorney/Agent) | See Limited Recognition | |
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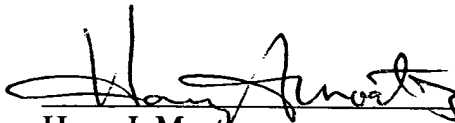
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Expires: July 10, 2002


Harry I. Moatz
Director of Enrollment and Discipline

ASSIGNMENT

WHEREAS, we, Orest W. Blaschuk and Barbara J. Gour (hereinafter referred to as ASSIGNORS), having post office addresses of 4998 de Maisonneuve West, Apt. 1114, Westmount, Québec, CANADA H3Z 1N2, and 250 Bermuda Drive, Beaconsfield, Québec, CANADA H9W 2S8, respectively, are the joint inventors of an invention entitled "COMPOUNDS AND METHODS FOR MODULATING CELL ADHESION," as described and claimed in the specification for which an application for United States letters patent was filed on July 11, 1997, and assigned Application No. 08/893,534. This application claims priority from Provisional Application No. 60/021,612, filed July 12, 1996.

WHEREAS, McGill University (hereinafter referred to as ASSIGNEE), a nonprofit organization of Canada having a place of business at 845 Sherbrooke Street West, Montreal, Québec, CANADA H3A 1B1, is desirous of acquiring the entire right, title and interest in and to the invention and in and to any letters patent that may be granted therefor in the United States and in any and all foreign countries;

NOW, THEREFORE, in consideration of One Dollar (\$1.00) and other good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNORS hereby sell, assign and transfer unto said ASSIGNEE the entire right, title and interest in and to said invention, said application and any and all letters patent which may be granted for said invention in the United States of America and its territorial possessions and in any and all foreign countries, and in any and all divisions, reissues and continuations thereof, including the right to file foreign applications directly in the name of ASSIGNEE and to claim priority rights deriving from said United States application to which said foreign applications are entitled by virtue of international convention, treaty or otherwise, said invention, application and all letters patent on said invention to be held and enjoyed by ASSIGNEE and its successors and assigns as fully and entirely as the same would have been held and enjoyed by ASSIGNORS had this assignment, transfer and sale not been made. ASSIGNORS hereby authorize and request the Commissioner of Patents and Trademarks to issue all letters patent on said invention to ASSIGNEE. ASSIGNORS agree to execute all instruments and documents required for the making and prosecution of applications for United States and

foreign letters patent on said invention, for litigation regarding letters patent, or for the purpose of protecting title to said invention or letters patent therefor.

Dec 11/97
Date

Orest W. Blaschuk
Orest W. Blaschuk

DISTRICT OF MONTREAL
State of
PROVINCE OF QUEBEC
County of

SS.

I certify that I know or have satisfactory evidence that Orest W. Blaschuk is the person who appeared before me, and said person acknowledged that he signed this instrument and acknowledged it to be his free and voluntary act for the uses and purposes mentioned in the instrument.



Dated DECEMBER 11, 1997
Signature of Francesca Giannini
Notary Public
Printed Name FRANCESCA GIANNINI
My appointment expires JULY 1998

Dec 11/97
Date

Barbara J. Gour
Barbara J. Gour

DISTRICT OF MONTREAL
State of
PROVINCE OF QUEBEC
County of

SS.

I certify that I know or have satisfactory evidence that Barbara J. Gour is the person who appeared before me, and said person acknowledged that she signed this instrument and acknowledged it to be her free and voluntary act for the uses and purposes mentioned in the instrument.



Dated DECEMBER 11, 1997
Signature of Francesca Giannini
Notary Public
Printed Name FRANCESCA GIANNINI
My appointment expires JULY 1998